



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Mikihiro Gau, et al.

**Examiner:** Unassigned

**Serial No.:** 09/965,174

**Art Unit:** Unassigned

**Filed:** September 27, 2001

**Docket:** 14948

**For:** AGILE INFORMATION  
SYSTEM AND MANAGEMENT SYSTEM

**Dated:** March 1, 2004

**RECEIVED**

MAR - 9 2004

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450, Alexandria, VA 22313-1450

**GROUP 3600**

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

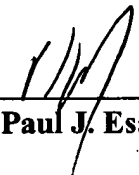
1. Japanese Laid-Open Patent Publication No. 10-63751, dated March 6, 1998
2. Japanese Laid-Open Patent Publication No. 7-334572, dated December 22, 1995

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, Virginia 22313-1450, on March 1, 2004.

**Dated:** March 1, 2004

  
\_\_\_\_\_  
**Paul J. Esatto, Jr.**

The references were cited in a Japanese Office Action dated January 20, 2004 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no statement or fee is required.

Respectfully submitted,


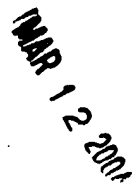
A handwritten signature in black ink, appearing to read 'P. Esatto, Jr.', written in a cursive style.

Paul J. Esatto, Jr.  
Registration No. 30,749

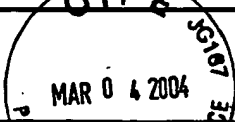
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343/4366 Fax

PJE:yd

# 3  
BT  
36293-1564

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. <b>14948</b>
In Re Application Of: <b>Mikihiro Gau, et al.</b>			
Serial No. <b>09/965,174</b>	Filing Date <b>September 27, 2001</b>	Examiner <b>Unassigned</b>	Group Art Unit <b>Unassigned</b>
<div style="display: flex; justify-content: space-between;"><div style="width: 60%;">Title: <b>ACBLE INFORMATION SYSTEM AND MANAGEMENT SYSTEM</b></div><div style="width: 35%; text-align: center;"><b>RECEIVED</b> MAR - 9 2004</div></div>			
<div style="display: flex; justify-content: space-between;"><div style="width: 30%;"></div><div style="width: 40%; text-align: center;">Address to: <b>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</b></div><div style="width: 25%; text-align: center;"><b>GROUP 3600</b></div></div> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> <div style="text-align: right; margin-top: 100px;"></div>			

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No: <b>14948</b>
---	----------------------------

In Re Application: <b>Mikihiro Gau, et al.</b>	
--	---

Serial No. <b>09/965,174</b>	Filing Date <b>September 27, 2001</b>	Examiner <b>Unassigned</b>	Group Art Unit <b>Unassigned</b>
---------------------------------	--	-------------------------------	-------------------------------------

<b>AGILE INFORMATION SYSTEM AND MANAGEMENT SYSTEM</b>	<b>RECEIVED</b> <b>MAR - 9 2004</b> <b>GROUP 3600</b>
---	---

**Payment of Fee**

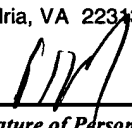
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No.    **19-1013/SSMP**  
 as described below.
- ☐ Charge the amount of \_\_\_\_\_  
☒ Credit any overpayment.  
☒ Charge any additional fee required.

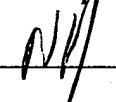
**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on <b>3/1/2004</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
 Signature of Person
<b>Paul J. Esatto, Jr.</b> Typed or Printed Name of Person

**\*This certificate may only be used if paying by deposit account.**

  
 \_\_\_\_\_  
 Signature  
**Paul J. Esatto, Jr.**  
**Registration No. 30,749**

**Dated: March 1, 2004**

**Scully, Scott, Murphy & Presser**  
**400 Garden City Plaza**  
**Garden City, New York 11530**  
**(516) 742-4343/4366 Fax**

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

MAR 04 2004

Docket Number (Optional)

14948

Application Number

09/965,174

Applicant(s)

Mikihiro Gau, et al.

Filing Date

September 27, 2001

Group Art Unit

Unassigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

RECEIVED

MAR - 9 2004

GROUP 3600

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		10/63751	3/6/1998	Japan				
		7-334572	12/22/1995	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.